IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. PETTIS et al.

Application No. 09/893,746

Filed: June 29, 2001

For: METHOD FOR ALTERING DRUG PHARMACOKINETICS BASED ON MEDICAL DELIVERY

PLATFORM

Group Art Unit: 3763

Confirmation No. 4733

Atty. Docket No. 7767-173562

Customer No.

22220

DATENT TRADEMARK OFFICE



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply with the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted herewith is a form listing the documents cited in the International Search report of International Application Nos. PCT/US01/20763 (AC, AD, AG, AK, BE); PCT/US01/20782 (AB, BH, AR, AA); and PCT/US01/50440 (AU, AO, AZ, BI, BJ). The relevance of these documents is indicated in the International Search Report. The remaining references (AE-AF, AH-AJ, AL-AN, AP-AQ, AS-AT, AV-AY, BA-BD, BF-BG and BK-BQ) are being submitted for the Examiner's consideration.

An English language translation has been provided for document BL so no further statement of relevance need be given.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and § 1.98, and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the remaining cited publications and make them of record.

Respectfully submitted,

Date: 10/23/02

Ann S. Hobbs

Registration No. 36,830

VENABLE

P.O. Box 34385

Washington, D.C. 20043-9998

L1 CAM

Telephone: (202) 962-4800 Telefax: (202) 962-8300

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no personal additional to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known	R.C.
Application Number	09/893,746	" () [] [] .
Filing Date	June 29, 2001	OCT - W
First Named Inventor	J. PETTIS et ale.	4 20
Group Art Unit	3763	-LOO, 2002
Examiner Name	Not Yet Assigned	CEAIT
Attorney Docket Number	7767-173562	CHRO

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	AA	2,619,962		Rosenthal	12/02/1952	
	AB	3,814,097		Ganderton et al.	06/04/1974	
	AC	3,964,482		Gerstel et al.	06/22/1976	
	AD	4,270,537		Romaine	06/02/1981	
-	AE	4,592,753		Panoz	06/03/1986	
	AF	5,003,987		Grinwald	04/02/1991	
	AG	5,098,389		Cappucci	03/24/1992	
	AH	5,156,591		Gross et al.	10/20/1992	
	AI	5,250,023		Lee et al.	10/05/1993	
-	AJ	5,279,544		Gross et al.	01/18/1994	
	AK	5,417,662		Hjertman et al.	05/23/1995	
	AL	5,527,288		Gross et al.	06/18/1996	
	AM	5,591,139		Lin et al.	01/07/1997	
	AN	5,801,057		Smart et al.	09/01/1998	
	AO	5,820,622		Gross et al.	10/13/1998	
	AP	5,848,991		Gross et al.	12/15/1998	
	AQ	5,876,582		Frazier	03/02/1999	
•	AR	5,879,326		Godshall et al.	03/09/1999	
	AS	5,928,207		Pisano et al.	07/27/1999	
	AT	5,957,895		Sage et al.	09/28/1999	
•	AU	5,997,501		Gross et al.	12/07/1999	
	AV	6,099,504		Gross et al.	08/08/2000	
	AW	6,334,856	Bl	Allen et al.	01/01/2002	
	AX	6,346,095	B1	Gross et al.	02/12/2002	

	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	Fore	eign Patent Do	cument			Name of Patentee Date of Publication of Where Re		Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Office ³	Number⁴	Kind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆			
	AY	CA	2 349 431		Canada	05/25/2000					
	AZ	EP	1 086 718	A1	Europe	03/28/2001					
	BA	EP	1 086 719	A1	Europe	03/28/2001					
	BB	EP	1 088 642	A1	Europe	04/04/2001					
	BC	EP	1 092 444	A1	Europe	04/18/2001					
	BD	wo	96/17648		PCT	06/13/1996					
	BE	wo	96/37155		PCT	11/28/1996					
	BF	wo	96/37256		PCT	11/28/1996					
	BG	wo	99/43350		PCT	09/02/1999					
	вн	wo	99/64580		PCT	12/16/1999					
	BI	wo	00/67647		PCT	11/16/2000					
_	BJ	wo	00/74763	A2	PCT	12/14/2000					
	BK	wo	02/11669	A2	PCT	02/14/2002					

Please type a plus sign (+) inside this box	

Substitute for form 1449A/PTO

DTO/00/004	
PTO/SB/08A	(08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no personal required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Complete if Known					
Application Number	09/893,746				
Filing Date	June 29, 2001				
First Named Inventor	J. PETTIS et al.				
Group Art Unit	3763 TECHINI 2 2002				
Examiner Name	3763 TECLINIO 2 2002 Not Yet Assigned 70LOGY COVIER 1				
Attorney Docket Number	7767-173562				

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	BL	E. AUTRET et al., Therapie 1991, 46 : 5-8.	
	ВМ	BRESSOLLE et al., "A Weibull Distribution Model for Intradermal Administration of Ceftazidime", Journal of Pharmaceutical Sciences, Vol. 82, No. 11, November 1993, pps. 1175-1178.	-
	BN	HENRY et al., "Microfabricated Microneedles: A Novel Approach to Transdermal Drug Delivery", Journal of Pharmaceutical Sciences, Vol. 87, No. 8, August 1998, pps. 922-925.	
	во	KAUSHIK et al., "Transdermal Protein Delivery Using Microfabricated Microneedles", Oct/Nov 1999 (1 page).	
	ВР	BURKOTH et al., "Transdermal and Transmucosal Powered Drug Delivery", Critical Review™ in Therapeutic Drug Carrier Systems, 16 (4), (1999), pps. 331-384.	
	BQ	MCALLISTER et al., "Three-Dimensional Hollow Microneedle and Microtube Arrays", Conference: Solid-State Sensors and Actuators Transducers-Conference, 1999; 10th; Vol. 12, pp 1098-1103.	
, .,			

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.